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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/579,543	05/26/2000	Beatrice Gaugler	LUD 5353.5 (10016355)	7364
24972	7590	11/13/2006	EXAMINER	
FULBRIGHT & JAWORSKI, LLP 666 FIFTH AVE NEW YORK, NY 10103-3198			HARRIS, ALANA M	
			ART UNIT	PAPER NUMBER

1643

DATE MAILED: 11/13/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Examiner-Initiated Interview Summary</b>	Application No.	Applicant(s)	
	09/579,543	GAUGLER ET AL.	
	Examiner	Art Unit	
	Alana M. Harris, Ph.D.	1643	

**All Participants:**

**Status of Application:** Allowed

(1) Alana M. Harris, Ph.D.

(3) \_\_\_\_\_

(2) Norman Hanson.

(4) \_\_\_\_\_

**Date of Interview:** 7 November 2006

**Time:** 4 pm

**Type of Interview:**

- ☒ Telephonic  
☐ Video Conference  
☐ Personal (Copy given to: ☐ Applicant ☐ Applicant's representative)

Exhibit Shown or Demonstrated: ☐ Yes ☐ No  
 If Yes, provide a brief description:

**Part I.**

Rejection(s) discussed:

Claims discussed:

Prior art documents discussed:


**Part II.**

**SUBSTANCE OF INTERVIEW DESCRIBING THE GENERAL NATURE OF WHAT WAS DISCUSSED:**

*See Continuation Sheet*

**Part III.**

- ☐ It is not necessary for applicant to provide a separate record of the substance of the interview, since the interview directly resulted in the allowance of the application. The examiner will provide a written summary of the substance of the interview in the Notice of Allowability.  
☐ It is not necessary for applicant to provide a separate record of the substance of the interview, since the interview did not result in resolution of all issues. A brief summary by the examiner appears in Part II above.

  
 ALANA M. HARRIS, PH.D.  
 PRIMARY EXAMINER  
 10/07/2006

\_\_\_\_\_  
(Examiner/SPE Signature)

\_\_\_\_\_  
(Applicant/Applicant's Representative Signature – if appropriate)

Continuation of Substance of Interview including description of the general nature of what was discussed: Voice mails have been exchanged between the Participants. The Examiner notified Mr. Hanson of the discrepancies that exists with regard to the sequences of record in the case. Mr. Hanson has noted these issues are not clear and requested more information regarding the matter. The Examiner has faxed Mr. Hanson information enclosed herein in hopes of aiding in resolving this matter. .



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# Fax Cover Sheet

**Date:** 07 Nov 2006

<b>To:</b> Norman Hanson	<b>From:</b> Alana M. Harris, Ph.D.
<b>Application/Control Number:</b> 09/579,543	<b>Art Unit:</b> 1643
<b>Fax No.:</b> 2123183400	<b>Phone No.:</b> 5712720831
<b>Voice No.:</b> 2123183168	<b>Return Fax No.:</b> 5712730831
<b>Re:</b>	<b>CC:</b> Larry Helms, Ph.D.

☒ **Urgent**    ☒ **For Review**    ☒ **For Comment**    ☒ **For Reply**    ☐ **Per Your Request**

**Comments:**

As per your voice mail message, Mr. Hanson you are correct in that we have discussed the issue of the sequences listed in the paper listing are not commensurate with the number of sequences of record in the computer readable form (CRF) listing. At each time I have noted the day of the listings in question so you could access your records and note the differences. In order to aid you in resolving this issue, herein are the first pages of the raw sequence listing (03/04/2002) citing on line 10, section iii, enclosed in the box, 30 sequences and the first page of the CRF (02/21/02), section iii, enclosed in the box, 26 sequences. These two citings of sequences are not commensurate, do not match and must match before the issuance of the patent. Also enclosed is the printer rush noting the problem. I hope this tangible evidence aids you in resolving the printer rush expeditiously.

Alana M. Harris, Ph.D.

**Number of pages 4 including this page**

**STATEMENT OF CONFIDENTIALITY**

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# ● PRINTER RUSH ●

(PTO ASSISTANCE)

Application :	<u>09/579543</u>	Examiner :	<u>Harris</u>	GAU :	<u>1643</u>
From :	<u>J. Robbins</u>	Location :	<u>IDC</u> FMF FDC	Date :	<u>1-17-06</u>
Tracking #:			<u>Ep 09579543</u>	Week Date: <u>10-10-05</u>	

DOC CODE	DOC DATE	MISCELLANEOUS
<input type="checkbox"/> 1449	_____	<input type="checkbox"/> Continuing Data
<input type="checkbox"/> IDS	_____	<input type="checkbox"/> Foreign Priority
<input type="checkbox"/> CLM	_____	<input type="checkbox"/> Document Legibility
<input type="checkbox"/> IIFW	_____	<input type="checkbox"/> Fees
<input type="checkbox"/> SRFW	_____	<input checked="" type="checkbox"/> Other <u>Seq. Listing</u>
<input type="checkbox"/> DRW	_____	
<input type="checkbox"/> OATH	_____	
<input type="checkbox"/> 312	_____	
<input type="checkbox"/> SPEC	_____	

[RUSH] MESSAGE: Paper copy of sequence listing  
(2-15-02) does has 26 sequences, electronic copy  
(3-4-02) has 30 sequences. The two must match.

Thank You!  
JRC

[XRUSH] RESPONSE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

INITIALS: \_\_\_\_\_

NOTE: This form will be included as part of the official USPTO record, with the Response document coded as XRUSH.  
 REV 10/04

## (1) GENERAL INFORMATION:

- (i) APPLICANTS: Gaugler, Béatrice; Van den Eynde, Benoît;  
van der Bruggen, Pierre; Boon-Falleur, Thierry
- (ii) TITLE OF INVENTION: Isolated Nucleic Acid Molecules Coding For  
Tumor Rejection Antigen Precursor Mage-3 And Uses Thereof

(iii) NUMBER OF SEQUENCES: 26

- (iv) CORRESPONDENCE ADDRESS:  
(A) ADDRESSEE: Felfe & Lynch  
(B) STREET: 805 Third Avenue  
(C) CITY: New York City  
(D) STATE: New York  
(F) ZIP: 10022

- (v) COMPUTER READABLE FORM:  
(A) MEDIUM TYPE: Diskette, 5.25 inch, 360 kb storage  
(B) COMPUTER: IBM  
(C) OPERATING SYSTEM: PC-DOS  
(D) SOFTWARE: Wordperfect

- (vi) CURRENT APPLICATION DATA:  
(A) APPLICATION NUMBER: 08/037,230  
(B) FILING DATE: 26-MARCH-1993

- (vii) PRIOR APPLICATION DATA:  
(A) APPLICATION NUMBER: PCT/US92/04354  
(B) FILING DATE: 22-MAY-1992

- (viii) PRIOR APPLICATION DATA:  
(A) APPLICATION NUMBER: 07/807,043  
(B) FILING DATE: 12-DECEMBER-1991

- (ix) PRIOR APPLICATION DATA:  
(A) APPLICATION NUMBER: 07/764,364  
(B) FILING DATE: 23-SEPTEMBER-1991

- (x) PRIOR APPLICATION DATA:  
(A) APPLICATION NUMBER: 07/728,838  
(b) FILING DATE: 9-JULY-1991

- (xi) PRIOR APPLICATION DATA:  
(A) APPLICATION NUMBER: 07/705,702  
(B) FILING DATE: 23-MAY-1991

- (xii) ATTORNEY/AGENT INFORMATION:  
(A) NAME: Hanson, Norman D.  
(B) REGISTRATION NUMBER: 30,946  
(C) REFERENCE/DOCKET NUMBER: LUD 253.5

- (xiii) TELECOMMUNICATION INFORMATION:  
(A) TELEPHONE: (212) 688-9200  
(B) TELEFAX: (212) 838-3884



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## RAW SEQUENCE LISTING

DATE: 03/04/2002

PATENT APPLICATION: US/09/579,543

TIME: 15:38:02

Input Set : N:\Crf3\RULE60\09579543.raw

Output Set: N:\CRF3\03042002\I579543.raw

## SEQUENCE LISTING

2 (1) GENERAL INFORMATION:

C--> 4 (i) APPLICANT: Gaugler, Batrice; Van den Eynde, BenoEt;  
 5 van der Bruggen, Pierre; Boon-Falleur, Thierry  
 7 (ii) TITLE OF INVENTION: Isolated Nucleic Acid Molecules Coding For  
 8 Tumor Rejection Antigen Precursor Mage-3 And Uses Thereof

10 (iii) NUMBER OF SEQUENCES: 30

12 (iv) CORRESPONDENCE ADDRESS:

13 (A) ADDRESSEE: Felfe & Lynch  
 14 (B) STREET: 805 Third Avenue  
 15 (C) CITY: New York City  
 16 (D) STATE: New York  
 17 (F) ZIP: 10022

19 (v) COMPUTER READABLE FORM:

20 (A) MEDIUM TYPE: Diskette, 5.25 inch, 360 kb storage  
 21 (B) COMPUTER: IBM  
 22 (C) OPERATING SYSTEM: PC-DOS  
 23 (D) SOFTWARE: Wordperfect

25 (vi) CURRENT APPLICATION DATA:

C--> 26 (A) APPLICATION NUMBER: US/09/579,543  
 C--> 27 (B) FILING DATE: 26-May-2000  
 28 (C) CLASSIFICATION:

C--> 49 (vii) PRIOR APPLICATION DATA:

31 (A) APPLICATION NUMBER: 09/583,850  
 32 (B) FILING DATE:  
 34 (A) APPLICATION NUMBER: PCT/US92/04354  
 35 (B) FILING DATE: 22-MAY-1992  
 38 (A) APPLICATION NUMBER: 07/807,043  
 39 (B) FILING DATE: 12-DECEMBER-1991  
 42 (A) APPLICATION NUMBER: 07/764,365  
 43 (B) FILING DATE: 23-SEPTEMBER-1991  
 46 (A) APPLICATION NUMBER: 07/728,838  
 47 (B) FILING DATE: 9-JULY-1991  
 50 (A) APPLICATION NUMBER: 07/705,702  
 51 (B) FILING DATE: 23-MAY-1991

C--> 53 (viii) ATTORNEY/AGENT INFORMATION:

54 (A) NAME: Hanson, Norman D.  
 55 (B) REGISTRATION NUMBER: 30,946  
 56 (C) REFERENCE/DOCKET NUMBER: LUD 5353

C--> 58 (ix) TELECOMMUNICATION INFORMATION:

59 (A) TELEPHONE: (212) 688-9200  
 60 (B) TELEFAX: (212) 838-3884

C--> 64 (2) INFORMATION FOR SEQ ID NO: 1:

ENTERED

\*\*\*\*\*  
\*\*\* TX REPORT \*\*\*  
\*\*\*\*\*

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TX/RX NO	2211	
CONNECTION TEL		912123183400
SUBADDRESS		
CONNECTION ID		
ST. TIME	11/07 17:09	
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